



Uncertainty in Semi-Supervised Learning

Jonas Dauer, 11.07.2022



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- 1. Motivation
- 2. Basics
- 3. Certainty-Driven Consistency Loss for Semi-supervised Learning
  - 1. Architecture
  - 2. Uncertainty in neural networks
  - 3. Integration of Uncertainty
  - 4. Experiments
- 4. Conclusion



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# Labeling Data





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Labeled Data





Labeled Data



Supervised Learning





Labeled Data





Supervised Learning







Supervised Learning



#### Semi-Supervised Learning



### **Smoothness Assumption**

If two points  $x_1$  and  $x_2$ are close, then so should be the corresponding outputs  $y_1$  and  $y_2$ .





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• Partly labeled Dataset



labels

input data batch



- Partly labeled Dataset
- Supervised teacher network





- Partly labeled Dataset
- Supervised teacher network
- "Trained" student network





- Partly labeled Dataset
- Supervised teacher network
- "Trained" student network
- Uncertainty measurement





- Partly labeled Dataset
- Supervised teacher network
- "Trained" student network
- Uncertainty measurement
- Teacher gets knowledge from student





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#### Dropout



Classification Classification Dropout on hidden layer Input layer

Without Dropout

With Dropout



#### Dropout





# Augmentation







https://towardsdatascience.com/machinex-image-data-augmentation-using-keras-b459ef87cd22



### Augmentation





# **Determine Uncertainty**

Procedure:

- 1. Take batch B
- 2. Classify with teacher every  $x_i$  in B 20 times with dropout and augmentation
- 3. Calculate Criteria
- 4. Learn depending on the criteria



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Criteria:

- Measure variance over T times random samples
- Reflect the probability distribution of different classes
- Continuous scalar as output



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Criteria:

- Measure variance over T times random samples
- Reflect the probability distribution of different classes
- Continuous scalar as output
- e.g. Predictive Variance (PV):
  - Variance of multiple soft predictions
  - The larger the variance, the higher uncertainty

$$PV = \sum_{c} Var[p(y = c | x, \widehat{\Theta}^{1}, \widehat{\eta}^{1}), \dots, p(y = c | x, \widehat{\Theta}^{T}, \widehat{\eta}^{T})]$$



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• Idea: Learn only from certain targets



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- Procedure:
  - 1. Compute criteria for data points in input Batch B
  - 2. Sort data points according to uncertainty values
  - 3. Chose the top-k certain data points
  - 4. Filter these randomly dependent on their uncertainty
  - 5. Train student network with these data points



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guide

AND



#### **Temperature CCL**

- Idea: Learn more from certain targets and less from uncertain
  - "dark knowledge" could be helpful
  - e.g. similarity between classes
- Procedure:
  - Use softmax activation with temperature

$$q_i = \frac{\exp(z_i/V_i)}{\sum_j \exp(z_j/V_j)}$$

- $V_i$  depends on certainty of  $x_i$
- If  $V_i = 1$ : softmax activation
- For large  $V_i$ : equal distribution



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#### CIFAR

- 60.000 images
- CIFAR-10 and CIFAR-100

airplane	and a second	W.	-	X	*	-	2	-7		-
automobile					-	No.			1-0	*
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cat				64		1	E.	Å.	No.	1
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#### SVHN

- Street View House Numbers Dataset
- 73.257 images





#### Experiments overview

- Run every method 10 times (average)
- Metric: Error rate (%), ± standard deviation

Model		CIFAR-10	SVHN	CIFAR-100	
	1000 labels	2000 labels	4000 labels	1000 labels	10000 labels
Supervised-only	$46.43 \pm 1.21$	$33.94\pm0.73$	$20.66\pm0.57$	$12.32\pm0.95$	$44.56\pm0.30$
Π model	_	—	$12.36\pm0.31$	$4.82\pm0.17$	$39.19\pm0.36$
TempEns	_	—	$12.16\pm0.24$	$4.42\pm0.16$	$38.65\pm0.51$
VAT+Ent	_	—	$10.55\pm0.05$	$3.86\pm0.11$	_
MT	$21.55 \pm 1.48$	$15.73\pm0.31$	$12.31\pm0.28$	$3.95\pm0.19$	$37.91 \pm 0.37$
Π+SNTG	$21.23 \pm 1.27$	$14.65\pm0.31$	$11.00\pm0.13$	$\textbf{3.82} \pm \textbf{0.25}$	$37.97 \pm 0.29$
MT+SNTG	_	—	_	$3.86\pm0.27$	_
TempEns+SNTG	$18.41\pm0.52$	$13.64\pm0.32$	$10.93\pm0.14$	$3.98\pm0.21$	_
MA-DNN	_	_	$11.91\pm0.22$	$4.21\pm0.12$	$\textbf{34.51} \pm \textbf{0.61}$
Filtering CCL (ours)	$\mid \textbf{16.99} \pm \textbf{0.71}$	$12.57\pm0.47$	$\textbf{10.63} \pm \textbf{0.22}$	$3.86\pm0.19$	$34.81\pm0.52$
Temperature CCL (ours)	$17.26 \pm 0.69$	$\textbf{12.45} \pm \textbf{0.33}$	$10.73\pm0.26$	$3.93\pm0.21$	$35.15\pm0.62$



#### Accuracy vs. PV



- Measurement of PV and accuracy in the CIFAR data set
- Inverse relationship between class accuracy and predictive variance



#### Robustness to noisy labels





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  - Labeling is expensive
  - Learn from partly labeled data set



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- Semi-supervised Learning
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  - Learn from partly labeled data set
- Only learn from certain data points
- Determine uncertainty with dropout and augmentation
  - Classify one data point multiple times with random dropout and augmentation

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• Compute criteria and get uncertainty



Datapoints

prediction curve (dropout)

prediction curve

Classification

augmented datapoints



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  - Learn from partly labeled data set
- Only learn from certain data points
- Determine uncertainty with dropout and augmentation
  - Classify one data point multiple times with random dropout and augmentation
  - Compute criteria and get uncertainty
- Use filtering or temperature to learn from certain data points



